

<b><u>Welcome to the PIA for FY 2012!</u></b>		
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.		<b><u>Macros Must Be Enabled To Use Full Functionality For This Form Template!</u></b>
		<b>Microsoft Office 2003:</b> To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt</u> . Or 1) When file opens click on <u>Enable Macros at the prompt</u> .
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.		<b>Microsoft Office 2007:</b> To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.		<b><u>Final Signatures</u></b>
		Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
<b><u>Directions:</u></b> VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.		<b><u>Privacy Impact Assessment Uploaded into SMART</u></b>  All PIA Validation Letters should be mailed to <a href="mailto:Christina.Pettit@va.gov">Christina.Pettit@va.gov</a> to receive full credit for submission.
<b><u>INTERNAL WEBSITE :</u></b> <a href="http://vawww.privacy.va.gov/PIA.asp">http://vawww.privacy.va.gov/PIA.asp</a>		<b><u>Various Privacy Data Websites:</u></b>
<b><u>EXTERNAL WEBSITE :</u></b> <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>		SORNs : <a href="http://www.rms.oit.va.gov/SOR_Records.asp">http://www.rms.oit.va.gov/SOR_Records.asp</a>
		Directive itself (6508): <a href="http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTYPE=2">http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTYPE=2</a>
<b><u>Roles and Responsibilities:</u></b>		Schedule FY 2012 : <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.		
a. <b>Privacy Officer</b> is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508		
b. <b>Records Officer</b> is responsible for supplying records retention and deletion schedules		
c. <b>Information Technology (IT)</b> staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.		
d. <b>Information Security Officer (ISO)</b> is responsible for assisting the Privacy Officer and providing information regarding security controls.		
e. <b>Chief Information Officer (CIO)</b> is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.		
<b><u>Definition of PII (Personally Identifiable Information)</u></b>		
<b>Personally Identifiable Information (PII)</b> is —any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.		
<b>Examples of PII include, but are not limited to:</b>		
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card number		
• Address information, such as street address or email address		
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)		
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information)		
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.		
<b><u>A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:</u></b>		
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;		
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.		

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question		*Yellow Highlight = Required to Sign PIA
Program or System Name (as shown in SMART):		REGION 1 > visn 22 > Loma Linda HCS>VISTA		
OMB Unique System / Application / Program Identifier (AKA: UPID #):		029-00-01-11-01-1180-00		
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		<p>The Vista-Legacy system is the software platform and hardware infrastructure (associated with clinical operations) on which the VHA health care facilities operate their software applications and support for E-Government initiatives. It includes the computer equipment associated with clinical operations and the employees (approx. 2500 FTEE) necessary to operate the system. Vista-Legacy is a client-server system. It links the facility computer network to over 100 applications and databases. In 2006, the Vista-Legacy system supported IT services across the VA organization which ad a network of 21 VISNs that managed 155 medical centers, over 881 community based outpatient clinics, 46 residential rehabilitation treatment programs, 135 nursing homes, 207 readjustment counseling centers, 57 veteran benefits and regional offices, and 125 national cemeteries. Vista-Legacy provides critical data that supports the delivery of healthcare to veterans and their dependants. Using the computer, the VA health care provider can access Vista-Legacy applications and meet a wide range of health care data needs. The Vista-Legacy system operates in medical centers, ambulatory and community-based clinics, nursing homes and domiciliary. The Vista-Legacy system is in the mature phase of the capital investment lifecycle.</p>		
Facility or Program Office Name:		VA Loma Linda Health Care System		
Title:	Name:	Phone:	Email:	
Privacy Officer:	Craig Curtis	909-583-2923	<a href="mailto:craig.curtis@va.gov">craig.curtis@va.gov</a>	
Information Security Officer:	Albert Estacio	909-583-6309	<a href="mailto:albert.estacio@va.gov">albert.estacio@va.gov</a>	
System Owner/Delegate:	Randy Quinton	562-826-5204	<a href="mailto:Randy.Quinton@va.gov">Randy.Quinton@va.gov</a>	
Chief Information Officer:	Douglas Wirthgen	909-583-6165	<a href="mailto:douglas.wirthgen@va.gov">douglas.wirthgen@va.gov</a>	
Information Owner:	Donald F. Moore (SES)	(909)825-7084 x6005	<a href="mailto:douglas.wirthgen@va.gov">donald.moore@va.gov</a>	
Other Titles:	Shane Elliott	(909)825-7084 x6005	<a href="mailto:shane.elliott@va.gov">shane.elliott@va.gov</a>	
Person Completing Document:	Douglas Wirthgen	909-583-6165	<a href="mailto:douglas.wirthgen@va.gov">douglas.wirthgen@va.gov</a>	
Other Titles:				
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)		11/2009		
What specific legal authorities authorize this program or system:		7301(a), 5701, 7332, 304; The Privacy		
What is the expected number of individuals that will have their PII stored in this system: 0		5000 +		
Identify what stage the System / Application / Program is at:		Operations/Maintenance		
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.		1986		
Is there an authorized change control process which documents any changes to existing applications or systems?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
If No, (Explain on Tab 8)				
Is there a contingency plan in place to process information when the system is down?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
Has a PIA been completed within the last three years?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
<b>FISMA QUESTIONS</b>				
1. Is this a new system?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
2. Does this system contain Federal information in identifiable form?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
3. Does the system include information on the public?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
4. Is there a Privacy Impact Assessment (PIA) that covers this system?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system			
Identify?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
6. What is the System of Records Notice (SORN) for this system?	79VA19			
7. Has this SORN been reviewed or updated within the last three years?	Yes in 2009			
Date of Report (MM/YYYY):		20-Mar-12		

Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.			
If there is no Personally Identifiable Information on your system, please complete TAB 2 & TAB 12. ( See Comment for Definition of PII)			
<input type="checkbox"/> Have any changes been made to the system since the last PIA?			
<input type="checkbox"/> Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?			
<input checked="" type="checkbox"/> Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?			
<input checked="" type="checkbox"/> Does this system/application/program collect, store, or disseminate PII/PHI data?			
<input checked="" type="checkbox"/> Does this system/application/program collect, store or disseminate the SSN?			
<a href="#">Directions</a>			

(FY 2012) PIA: System of Records

\*Green Highlight = Must Answer Question

1. Is a SORN (System of Records Notice) Required?

☒ Yes ☐ No ☐ Not Sure

2. Is there a SORN already in place?

☒ Yes ☐ No

\*\*\*If Yes, select all of the appropriate SORN number(s):

\*\*\*If Not Sure, continue to question 3

\*\*\*Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

79VA19

For each applicable System(s) of Records, list:

3. If records are retrieved using any of the following entities, a SORN will be required

(Please check all that apply)

<input checked="" type="checkbox"/>	Full Name
<input type="checkbox"/>	Maiden Name
<input type="checkbox"/>	Mother's Maiden Name
<input type="checkbox"/>	Alias
<input checked="" type="checkbox"/>	Social Security Number
<input type="checkbox"/>	Passport Number
<input type="checkbox"/>	Driver's License Number
<input type="checkbox"/>	Taxpayer Identification Number
<input type="checkbox"/>	Financial Account Number
<input type="checkbox"/>	Credit Card Number
<input type="checkbox"/>	Street Address
<input type="checkbox"/>	Email Address
<input type="checkbox"/>	Photographic Image
<input type="checkbox"/>	Fingerprints
<input type="checkbox"/>	Handwriting
<input type="checkbox"/>	Other Biometric Data
<input type="checkbox"/>	Other (Explain on Tab 8)

4. Based on Question 3, is a SORN required?

☒ Yes ☐ No

\*\*\*If Yes, has the process begun to obtain/acquire a SORN

☒ Yes ☐ No

Location where the specific applicable System of Records Notice may be accessed:

[http://www.rms.oit.va.gov/SOR\\_Records.asp](http://www.rms.oit.va.gov/SOR_Records.asp)

(FY 2012) PIA: Data Collection And Storage					
*Green Highlight = Must Answer Question					
Please fill in each column for the data types selected.					
Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Family Relation (spouse, children, parents, grandparents, etc)	Paper & Electronic	healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal	Written	Written	
Service Information	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Medical Information	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Criminal Record Information	ALL	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	

Guardian Information	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Education Information	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Benefit Information	Paper & Electronic	Collection is for treatment, payment, healthcare operations, and VA Benefits; Notice of Privacy Practices; Federal Register Public Notice of Routine Uses, Storage, Retrievability, Safeguards, Retention and Disposal, Notification period, System Managers and Address, Notification, Access and Contesting Procedure, Record Source Categories.	Written	Written	
Other (Explain on Tab 8)					
<b>Data Type</b>	<b>Storage Method</b>	<b>Source</b> (If requested, identify the specific file, entity and/or name of agency)	<b>Is data collection Mandatory or Voluntary?</b>		
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Family Relation (spouse, children, parents, grandparents, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Criminal Record Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary		
Guardian Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary		
Education Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary		
Other (Explain on Tab 8)	<input checked="" type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Mandatory <input type="radio"/> Voluntary		
	<b>(Please Select Yes/No)</b>				
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No				
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No				
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No				
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No				

<a href="#">routine use(s)</a>					

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.				
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?	
Internal Sharing: VA Organization	VHA; VBA; NCA; OIG; OGC	<input checked="" type="radio"/> Yes <input type="radio"/> No	Treatment, payment, benefits, and healthcare operations; Legal Representation; Law Enforcement; Adjudication of Claims; VA Benefits	<input checked="" type="radio"/> Yes <input type="radio"/> No	VHA Handbook 1605.1; Standing Letter Agreements	
Other Veteran Organization	VSO	<input checked="" type="radio"/> Yes <input type="radio"/> No	Medical and Benefit and Healthcare information for veteran benefit assistance	<input type="radio"/> Yes <input checked="" type="radio"/> No	VHA Handbook 1605.1; Patient Authorization	
Other Federal Government Agency	VHA; VBA; SSA; DOD; DOJ; FDA	<input checked="" type="radio"/> Yes <input type="radio"/> No	Treatment, payment, benefits, and healthcare operations	<input checked="" type="radio"/> Yes <input type="radio"/> No	VHA Handbook 1605.1; .1; Sharing Agreements; Business Associate Agreements; Standing Letters; Health and Safety	
State Government Agency	State of California, California Department of Public Health; Medical Board of California; California State Veteran Homes; Organ Procurement Organization	<input type="radio"/> Yes <input checked="" type="radio"/> No	Health and Safety; Criminal Activity: Donor Purposes	<input checked="" type="radio"/> Yes <input type="radio"/> No	VHA Handbook 1605.1; Sharing Agreements; Contracts	
Local Government Agency	Law Enforcement Agencies	<input checked="" type="radio"/> Yes <input type="radio"/> No	Health and Safety; Criminal Activity	<input checked="" type="radio"/> Yes <input type="radio"/> No	VHA Handbook 1605.1; Standing Letter Agreements	
Research Entity	USC, UCLA Affiliates	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	VHA Handbook 1605.1; Patient Authorization; Patient Care Referrals for Healthcare; Affiliate Agreement	
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)						
(FY 2012) PIA: Access to Records						
Does the system gather information from another system?		<input type="radio"/> Yes <input checked="" type="radio"/> No				
Please enter the name of the system:						
(FY 2012) PIA: Secondary Use						
Will PII data be included with any secondary use request?		<input type="radio"/> Yes <input checked="" type="radio"/> No				
Check all that apply		<input type="checkbox"/> Mental Health	<input type="checkbox"/> HIV	<input type="checkbox"/> Drug/Alcohol Counseling		
		<input type="checkbox"/> Sickle Cell	<input type="checkbox"/> Other (Explain on Tab 8)		<input type="checkbox"/> Research	



<b>(FY 2012) PIA: Records Management</b>		<b>*Green Highlight = Must Answer Question</b>			
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?					
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No					
Is the data collected to only what is necessary to provide requested service?					
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)					
Has the data provided been verified as complete?					
<input checked="" type="checkbox"/> Veteran Verified <input type="checkbox"/> Received From Database <input type="checkbox"/> Verification Unknown					
<b>(FY 2012) PIA: Retention &amp; Disposal</b>					
What is the data retention period?					
75 Years					
Explain why the information is needed for the indicated retention period?					
Answer: Health care					
What are the procedures for eliminating data at the end of the retention period?					
Answer: In accordance with disposition instructions in the NARA records schedule contained in FILES 203, the NARA Files Maintenance					
Where are these procedures documented?					
Answer: RC10-1					
How are data retention procedures enforced?					
Answer: The Health Information Resource Service is responsible for developing policies and procedures					
Has the retention schedule been approved by the National Archives and Records Administration (NARA)					
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)					
<b>(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)</b>					
Will information be collected through the internet from children under age 13?					
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No					

(FY 2012) PIA: Security \*Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls..	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is adequate physical security in place to protect against unauthorized access?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
<b>*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization</b>	
Explain what security risks were identified in the security assessment? (Check all that apply)	

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorist
<input type="checkbox"/> Blizzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/Break In	<input checked="" type="checkbox"/> Hacker, Cracker	<input checked="" type="checkbox"/> Password Privacy Negligence	<input type="checkbox"/> Tornado
<input type="checkbox"/> Civil Unrest	<input checked="" type="checkbox"/> Rail	<input checked="" type="checkbox"/> Personnel Unavailable	<input type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> HAZMAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input checked="" type="checkbox"/> Dam Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Intrusion, Break-Ins	<input type="checkbox"/> Vibration
<input checked="" type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input type="checkbox"/> Volcano
<input type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input checked="" type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input checked="" type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tampering	<input type="checkbox"/> Winter Weather Hazards

**\*If any other risks identified, explain in Tab 8**

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning <input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the Information data types chosen as a basis for your FIPS 199 System Categorization.	
	Corrective Action, Program Evaluation, Program Monitoring, Policy and Guidance Development, Budget
Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)	<input checked="" type="checkbox"/> The potential impact is <b>high</b> if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.
Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)	<input checked="" type="checkbox"/> The potential impact is <b>high</b> if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.
Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)	<input checked="" type="checkbox"/> The potential impact is <b>high</b> if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?  
The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personnel security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

## (FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

(FY 2012) PIA: VBA Minor Applications			
Which of these are sub-components of your system? N/A			
Access Manager		Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	x	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange			Automated Medical Information System (AMIS)290
Appraisal System		Centralized Property Tracking System	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	x	Common Security User Manager (CSUM)	Broome Closet
Awards	x	Compensation and Pension (C&P)	Centralized Accounts Receivable System (CAR5)
Baker System		Control of Veterans Records (COVERS)	Committee on Waivers and Compromises (COWC)
		Courseware Delivery System (CDS)	Compensation and Pension (C&P) Record Interchange (CAPRI)
Bbraun (CP Hemo)	x	Dental Records Manager	Compensation & Pension Training Website
		Education Training Website	
C&P Payment System		Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Training Website		Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
		Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
CONDO PUD Builder		Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
		Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
EndoSoft		Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
FOCAS	x	Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
Inforce		Insurance Unclaimed Liabilities	Modern Awards Process Development (MAP-D)
INS - BIRLS		Inventory Management System (IMS)	Personal Computer Generated Letters (PCGL)
Insurance Online		Interactive Voce Response (IVR)	Personnel Information Exchange System (PIES)
Insurance Self Service		LGY Centralized Fax System	Post Vietnam Era educational Program (VEAP) CH 32
LGY Home Loans		Loan Service and Claims	Purchase Order Management System (POMS)
LGY Processing		Loan Guaranty Training Website	Reinstatement Entitlement Program for Survivors (REAPS)
MES			Reserve Educational Assistance Program CH 1607
Mobilization		Mental Health Assistant	RightFax
Montgomery GI Bill		National Silent Monitoring (NSM)	Service Member Records Tracking System
x MUSE		Powerscribe Dictation System	Survivors and Dependents Education Assistance CH 35
x Omnicell		Rating Board Automation 2000 (RBA2000)	Systematic Technical Accuracy Review (STAR)
Priv Plus		Records Locator System	Training and Performance Support System (TPSS)
x RAI/MDS		Remittance Processing System	VA Online Certification of Enrollment (VA-ONCE)
Right Now Web		Review of Quality (ROQ)	VA Reserve Educational Assistance Program
SAHSHA		Search Participant Profile (SPP)	Veterans Assistance Discharge System (VADS)
x Script Pro		Spinal Bifida Program Ch 18	Veterans Exam Request Info System (VERIS)
SHARE		State Benefits Reference System	Veterans Insurance Claims Tranking and Response System (VICTARS)
Sidexis		State of Case/Supplemental (SOC/SSOC)	Veterans Service Representative (VSR) Advisor
Synquest	x	Telecare Record Manager	Vocational Rehabilitation & Employment (VR&E) CH 31
VBA Training Academy		VBA Enterprise Messaging System	Web Automated Folder Processing System (WAFPS)
Veterans Canteen Web			Web Automated Reference Material System (WARMS)
VETSNET Housekeeping		Web Electronic Lender Identification	Web Automated Verification of Enrollment
VR&E Training Website			Web-Enabled Approval Management System (WEAMS)
Web LGY			Web Service Medical Records (WebSMR)
			Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
Name			
Description			
Comments			
Is PII collected by this min or application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this min or application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this min or application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			

(FY 2012) PIA: Minor Applications A-M							
Which of these are sub-components of your system?							
X	1184 Web	X	Citrix	X	Electronic Signature	X	Imaging
	A4P		Clinical Case Registries		Embedded Fragment Registry	X	Incentive Awards
X	ACCU Care	X	Clinical Data Repository/Health Data Repository		ENCORE 2	X	Incident Reporting
X	ACCU Check		Clinical Info Resource Network		ENDSOFT		Income Verification Match
X	ACCU Med	X	Clinical Monitoring System	X	Engineering	X	Incomplete Records Tracking
X	Adobe Acrobat		Clinical Notes Templates		Enrollment Application System		Inpatient Medications
	ADP Planning (PlanMan)	X	Clinical Procedures	X	Enterprise Terminology Server & VHA Enterprise Terminology Services	X	Intake/ Output
	ADT	X	Clinical Reminders	X	ePROMISE	X	Integrated Billing
	Adverse Reaction Tracking		Clippership	X	Equipment/ Turn-in Request	X	Integrated Patient Funds
X	Agent Cashier		Combat Veteran Outreach	X	Event Capture	X	Interim Management Support
X	Air Fortress		Committee on Waiver and Compromises		Event Driven Reporting		Inventory Management System
X	ASISTS	X	Consult/ Request Tracking		Extensible Editor	X	Kernal
X	Authorization/ Subscription	X	Controlled Correspondence		External Peer Review	X	Kids
X	Auto Instrument	X	Controlled Substances	X	EYECAP		KOWA
	Auto Replenishment/ Ward Stock		CP&E	X	Fee Based Claims System	X	Lab Service
X	AUTOCAD	X	CPRS		Fee Basis		Laboratory Electronic Data Interchange
	Automated Access Request	X	CPT/ HCPCS Codes	X	Financial and Accounting System (FAS)	X	Letterman
	Automated Info Collection Sys		Credentials Tracking	X	Financial Management System (FMS)		Lexicon Utility
X	Automated Lab Instruments	X	Credit Card Authentication		Functional Independence	X	Library
X	Automated Med Info Exchange	X	Data Innovations		Gen. Med. Rec. - I/O	X	List Manager
X	Automated Sales Reporting	X	DELIVEREX		Gen. Med. Rec. - Vitals	X	Lynx Duress Alarm
X	AutoMed	X	Dental		Gen. Med. Rec. - Generator	X	Mailman
	Bad Code Med Admin		DICTATION-Power Scribe		GENDEX	X	MCCR National Database
X	Barcode Medication Administration Contingency Plan (BCU)	X	Dietetics	X	Generic Code Sheet		Meadows (MDWS)
X	BCMA Contingency Workstations		Discharge Summary		Genesys	X	Medicine
	BDN 301		DRG Grouper		Get Well Networks	X	Mental Health
X	Beneficiary Travel	X	DRM Plus	X	GMED		MHTP
X	Big Fix	X	Drug Accountability	X	GRECC		MICOM
X	CA Verified Components - DSSI	X	DSIT	X	Health Data and Informatics		Microsoft Exchange E-mail System
	Capacity Management - RUM	X	DSS Extracts	X	Health Level Seven		Military/Vet Eye Injury Registry
X	Capacity Management Tools	X	DSS Quadramed	X	Health Summary		Minimal Patient Dataset
X	CAPRI		EDS Whiteboard (AVJED)	X	Health Summary Contingency	X	Missing Patient Reg (Original) A4EL
	Cardiff Teleform	X	Education Tracking		HINQ	X	Mumps AudioFAX
X	Cardiology Systems (stand alone servers from the network)	X	EEO Complaint Tracking		Hospital Based Home Care	X	MyHealthEVet
X	Care Management	X	EKG System	X	ICB		
X	CareTracker		Electronic Card System (ECD)		ICR - Immunology Case Registry		
	CHECKPOINT	X	Electronic Payroll Deduction (EPD)	X	IFCAP		
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.							
Name							
Description							
Comments							
Is PII collected by this minor application?							
Does this minor application store PII?							
If yes, where?							
Who has access to this data?							
Name							
Description							
Comments							
Is PII collected by this minor application?							
Does this minor application store PII?							
If yes, where?							
Who has access to this data?							
Name							
Description							
Comments							
Is PII collected by this minor application?							
Does this minor application store PII?							
If yes, where?							
Who has access to this data?							

## (FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?

	National Cemetery Association	x	Pharmacy Data Management	x	Scanning Exam and Evaluation System	x	VBECs
x	National Drug File	x	Pharmacy National Database	x	Scheduling		VDEF
x	National Laboratory Test	x	Pharmacy Prescription Practice		Security Suite Utility Pack	x	Vendor - Document Storage Sys
	NDBI		PICIS OR	x	Sentillion		Veterans Canteen Web
	Network Health Exchange	x	Police & Security		Shift Change Handoff Tool	x	Veterans Information Solution
	NOAHLINK		Problem List	x	ShoreTel		VHAHUNAPP1
x	NOIS	x	Progress Notes	x	Social Work		VHAHUNFPC1
x	Nursing Service	x	Prosthetics		Stellant	x	VHS & RA Tracking System
	Occurrence Screen		Purchase Order Management System		Stentor	x	Visit Tracking
x	Omnicell	x	Pyxis	x	Surgery	x	VISTA RAD
x	Oncology		Q-Matic	x	Survey Generator	x	VISTA RO
	Onvicolord (VLOG)		QMSI Prescription Processing		Telecare Record Manager	x	VistALink
	Optifill	x	Quality Assurance Integration		Temp Trak	x	VistALink Security
	Order Entry/ Results Reporting	x	Quality Improvement Checklist		Text Integration Utilities		Visual Impairment Service Team ANRV
x	Outpatient Pharmacy		QUASER		Tickler Database		Vitria BusinessWare
x	P2000 ROBOT	x	Radiology/ Nuclear Medicine	x	Toolkit		VIXS
x	PACS database		RAFT	x	TopCon	x	Voluntary Timekeeping
x	Patch Module	x	RALS		TraceMaster		Voluntary Timekeeping National
x	Patient Data Exchange	x	Record Tracking	x	Tracking Continuing Education	x	WEB HINQ
	Patient Feedback	x	Registration		Traumatic Brain Injury	x	Whiteboard
	Patient Representative	x	Release of Information - DSSI		Unwinder	x	Women's Health
	PCE Patient Care Encounter	x	Remote Order/ Entry System	x	Utility Management Rollup	x	Workload and Overtime
x	Personal Computer Generated Letters	x	RPC Broker	x	Utilization Review		
x	Pharmacy Benefits Mangement		Run Time Library	x	VA Conference Room Registration		
			SAGG	x	VA Fileman		
			SAN		VAMedSafe		

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	
Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	
Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	VA Loma Linda Health Care System		
Title:	Name:	Phone:	Email:
Privacy Officer:	Craig Curtis	909-583-2923	craig.curtis@va.gov
Digital Signature Block			
Information Security Officer:	Albert Estacio	909-583-6309	albert.estacio@va.gov
Digital Signature Block			
System Owner/Delegate:	Randy Quinton	562-826-5204	Randy.Quinton@va.gov
Digital Signature Block			
Chief Information Officer:	Douglas Wirthgen	909-583-6165	douglas.wirthgen@va.gov
Digital Signature Block			
Other Titles:	Shane Elliott	(909)825-7084 x6005	shane.elliott@va.gov
Digital Signature Block			
Date of Report:	20-Mar-12		
OMB Unique Project Identifier	029-00-01-11-01-1180-00		
Project Name	REGION 1 > visn 22 > Loma Linda HCS>VISTA		
<p>The Signature Process:</p> <ul style="list-style-type: none"> <li>• Complete the PIA form.</li> <li>• Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> <li>• Example: "FY12-Region3-Lexington VAMC-596-10302008.xls"</li> </ul> </li> <li>• Submit the completed PIA Excel form to SMART Database.</li> <li>• Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> <li>• If no errors, convert form into PDF with Nuance PDF Professional.</li> </ul> </li> <li>• Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> <li>• Obtain digital signatures on the "Final Signatures tab"</li> <li>• Submit signed PIA PDF form to the SMART Database.</li> </ul> </li> </ul>			